

# A Regulatory Update

Presentation by  
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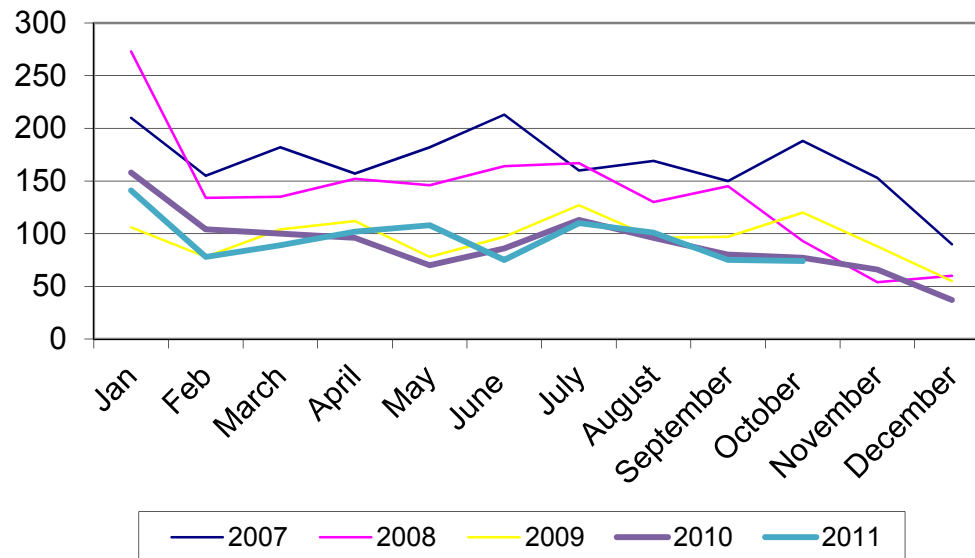
18 November 2011



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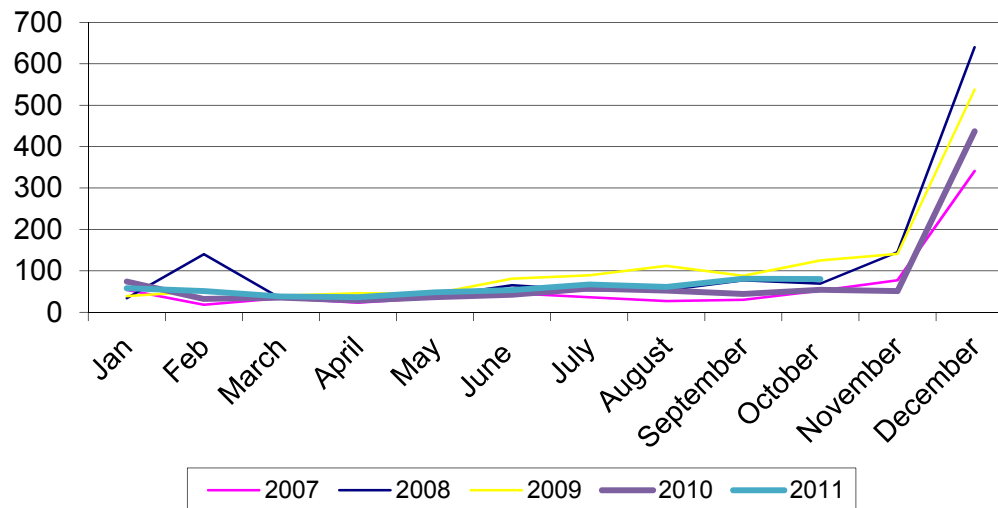
# Registration Statistics

Registrations	Jan	Feb	March	April	May	June	July	August	September	October	November	December	Total YTD
2011	141	78	89	102	108	75	110	101	75	74			953
2010	158	104	100	96	70	86	113	96	80	77	66	37	1083
2009	106	78	104	112	78	97	127	96	97	120	88	55	1158
2008	273	134	135	152	146	164	167	130	145	93	54	60	1653
2007	210	155	182	157	182	213	160	169	150	188	153	90	2009
2006	216	134	159	175	168	195	138	117	160	153	163	124	1902



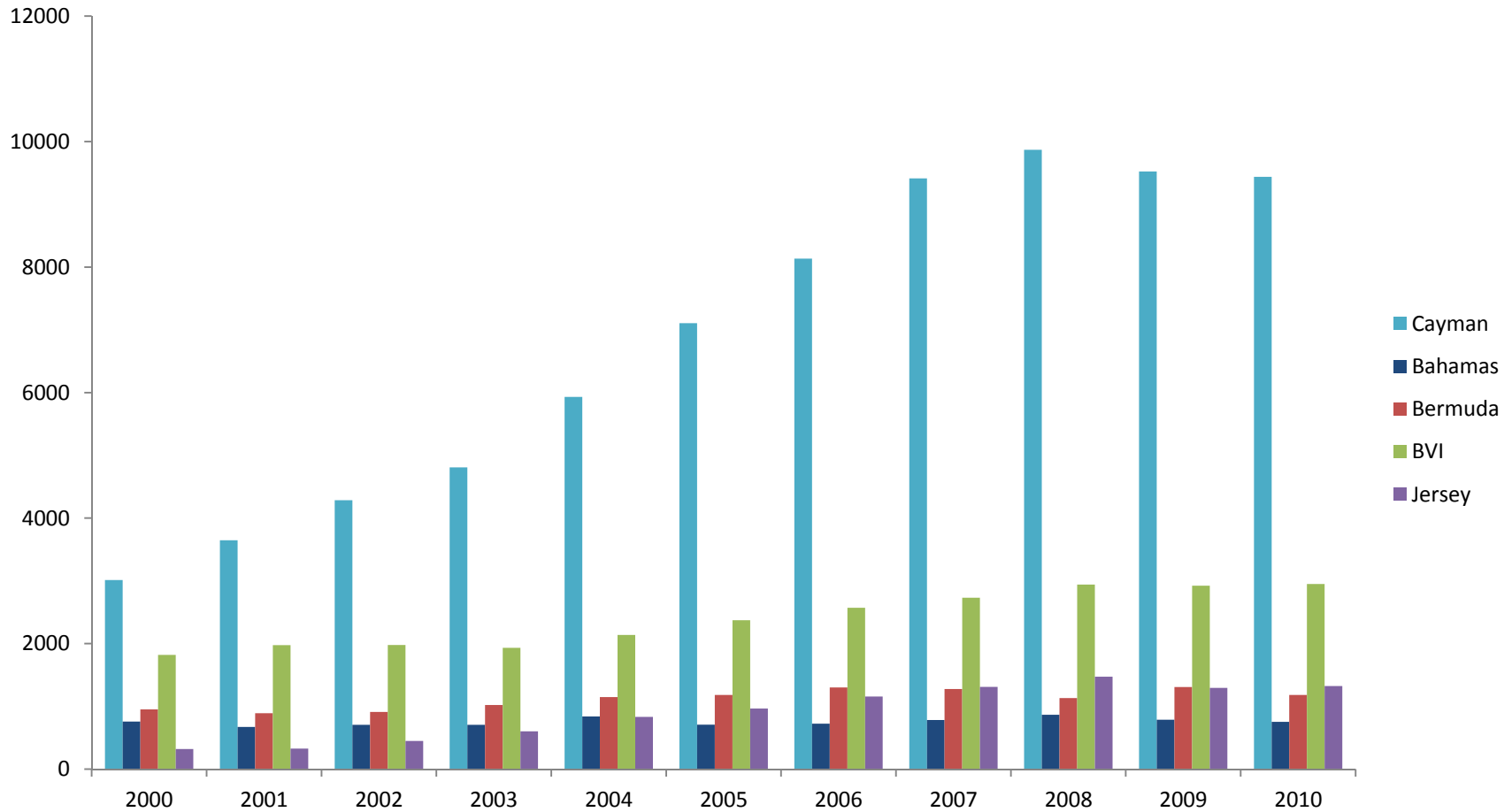
# Termination Statistics

Terminations	Jan	Feb	March	April	May	June	July	August	September	October	November	December	Total YTD
2011	58	51	38	36	48	53	67	61	81	80			573
2010	74	32	35	28	36	42	57	52	44	54	51	437	942
2009	39	51	40	46	45	81	89	112	88	125	141	538	1395
2008	34	140	33	30	38	65	53	53	77	69	145	640	1377
2007	54	18	34	23	35	46	36	27	30	52	77	341	773
2006	50	41	38	45	37	32	39	52	68	52	69	315	838



# Jurisdictional Comparisons

## Jurisdictional Comparison 2000 - 2010



# Regulatory Initiatives

Master Funds

Power to Refuse

New MFA

Reform to Mutual Fund Law & SIBL

Corporate Governance



**Thank You**



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